

Generic Requirements for
Element Management Layer (EML)
Functionality and Architecture

Contents

1. Introduction	1-1
1.1 Purpose and Rationale	1-1
1.2 Organization of Document	1-3
2. Logical Architecture Background and Approach	2-1
2.1 Introduction to the Element Management Layer	2-1
2.2 Potential EML Functions	2-4
2.3 Considerations for Aggregating Network Resources as Subnetworks	2-4
2.4 Functional Models for Network Operations	2-5
2.4.1 TMN Management Functional Areas	2-5
2.4.2 IOP Processes	2-6
2.5 Possible Placement of EML Functionality Into Physical Systems	2-7
3. Configuration Management	3-1
3.1 Provisioning	3-1
3.1.1 Set Parameters and Service Thresholds	3-5
3.1.2 Manage Assignable Inventory	3-7
3.1.3 Select and Assign	3-10
3.1.4 Set Service States	3-12
3.1.5 Backup Software	3-15
3.1.6 Restore Software	3-17
3.1.7 Install Software	3-19
3.2 Status and Control	3-22
3.2.1 Provide Generic NE Status and Control	3-23
3.2.2 Provide Subnetwork Status	3-24
3.3 Installation Support	3-26
3.3.1 Self-Identify and Initialize	3-26
3.3.2 Coordinate Hardware and Software	3-28
3.3.3 Support Acceptance Testing	3-29
4. Fault Management	4-1
4.1 Alarm Surveillance	4-1
4.1.1 Reduce Redundancy	4-4
4.1.2 Filter Event, Send Report	4-6
4.1.3 Analyze Alarms	4-7
4.2 Fault Localization	4-9
4.2.1 Select, Run, Correlate, Locate	4-12

4.2.2	Single Vendor Clusters: Select, Correlate	4-14
4.2.3	Equipment: Select, Correlate, Locate	4-15
4.3	Testing	4-17
4.3.1	Circuits: Select, Correlate, Locate	4-18
4.3.2	Segment: Select, Correlate, Control	4-20
4.3.3	Control Test Equipment Connection	4-21
4.3.4	Control Test, Control Configuration	4-21
4.3.5	Conduct Test	4-22
5.	Performance Management	5-1
5.1	Transport Performance Monitoring	5-1
5.1.1	Accumulate, Threshold	5-4
5.1.2	Correlate, Filter	5-5
5.1.3	Single Vendor Clusters: Correlate	5-6
5.1.4	Correlate, Analyze Trends	5-7
5.2	Performance Management Control	5-8
5.2.1	Access and Administer Local Data	5-9
5.2.2	Request Data	5-11
5.2.3	Accumulate, Threshold Data	5-11
5.2.4	Validate, Filter Data	5-12
5.2.5	Forward Data	5-12
6.	Accounting Management	6-1
6.1	Collect and Provide Access to Accounting Records	6-1
6.1.1	Accumulate Accounting Records	6-3
6.1.2	Access Accounting Records	6-3
6.2	Process Accounting Records	6-4
6.2.1	Aggregate Accounting Records	6-5
6.2.2	Correlate Accounting Records	6-6
6.2.3	Calculate Charges	6-6
6.3	Modify Accounting Records	6-6
6.3.1	Validate Accounting Records	6-7
6.3.2	Translate Accounting Records	6-8
7.	Security Management	7-1
7.1	Security for EML Functions	7-2
7.1.1	Authenticate	7-6
7.1.2	Control Access	7-6
7.1.3	Provide and Manage Audit Trails	7-7
7.1.4	Report Security Alarms	7-8
7.1.5	Monitor Data and System Integrity	7-9
7.1.6	Recover from Intrusions	7-10
7.1.7	Administer Security	7-11
7.2	Element Security Administration by the EML	7-11
7.3	Possible Security Related Operations Interfaces	7-13
7.4	Physical Security	7-13
8.	Common Operations Management	8-1

8.1	User Layer Management	8-1
8.1.1	Dialog	8-2
8.1.2	Physical Interface	8-2
8.1.3	Centrally Located User Interface	8-3
8.1.4	User Interface at Remote Location	8-3
8.1.5	User Experience	8-4
8.2	Data Management	8-5
8.2.1	Access Data	8-6
8.2.2	Administer Data	8-8
8.3	Operations Control Management	8-9
8.3.1	Coordinate Tasks	8-13
8.3.2	Sequence Tasks	8-14
8.3.3	Schedule Task	8-15
8.3.4	Track Tasks	8-16
8.3.5	Access Operations Control Data	8-17
8.3.6	Manage Exception Conditions	8-18
8.3.7	Manage Recovery	8-20
8.3.8	Manage Queue	8-21
9.	General Requirements for Systems that Implement EML Functions	9-1
9.1	Purpose	9-1
9.2	General Functional Requirements	9-1
9.2.1	Alarm Surveillance	9-2
9.2.2	Fault Localization	9-3
9.2.3	Testing	9-3
9.2.4	Transport Performance Monitoring	9-3
10.	Open Issues	10-1
10.1	Data Issues	10-1
10.2	System Platforms	10-1
10.3	Subnetwork Definition and Sizing	10-1
10.4	Cross-Technology Interworking	10-2
10.5	Service Applications	10-2
11.	Acronym List	11-1
Appendix A:	Data Communications Network	A-1
A.1	Physical Access Networks	A-1
A.2	Networking Protocols	A-2
A.3	Internetworking	A-3
A.4	Network Security	A-3
A.5	Network Capacity	A-3
Appendix B:	Logical Architecture Overview	B-1
B.1	A Model for Placing Operations Applications Into Physical Systems	B-2
B.2	Interoperability Principles	B-5

B.3	Contract Specification Structure	B-7
B.4	Distributed Processing Environment (DPE)	B-12
B.5	Relationship of This Logical Framework Architecture to TMN	B-14
B.6	Defining Contracts for EML Functions Using This Architecture Framework	B-14
Appendix C: Conventions for Data Flow Diagrams		C-1
Appendix D: EML Functionality for Emerging Technologies		D-1
D.1	Fiber In The Loop (FITL)	D-4
D.1.1	FITL System Profile	D-4
D.1.2	Motivation for FITL EML	D-5
D.1.3	FITL-specific EML Applications	D-6
D.2	Integrated Digital Loop Carrier (IDLC) systems	D-7
D.2.1	IDLC Profile	D-7
D.2.2	Motivation for TR-303 IDLC EML Functions	D-10
D.2.3	TR-303 IDLC Specific Operations Applications	D-11
D.3	Synchronous Optical Network (SONET)	D-12
D.3.1	SONET Profile	D-12
D.3.2	Motivation for SONET EML Functions	D-14
D.3.3	SONET-specific EML Applications	D-14
Appendix E: Requirements Tables by Management Functional Area		E-1
References		R-1
Glossary		G-1

LIST OF FIGURES

Figure 2-1. Current Functional Operations Model	2-3
Figure 2-2. Proposed Functional Operations Model	2-3
Figure 2-3. Example of EML Functionality Implemented in an Element Manager	2-8
Figure 3-1. Overview of Configuration Management	3-2
Figure 3-2. Service Provisioning	3-4
Figure 3-3. Set Parameters and Service Thresholds	3-6
Figure 3-4. Manage Assignable Inventory	3-9
Figure 3-5. Select and Assign	3-11
Figure 3-6. Set Service States	3-13
Figure 3-7. Backup Software	3-16
Figure 3-8. Restore Software	3-18
Figure 3-9. Install Software	3-21
Figure 3-10. Status and Control	3-23
Figure 3-11. Installation Support	3-26
Figure 4-1. Overview of Fault Management	4-2
Figure 4-2. Alarm Surveillance	4-4
Figure 4-3. Fault Localization	4-12
Figure 4-4. Testing	4-19
Figure 5-1. Transport Performance Monitoring	5-3
Figure 5-2. Performance Management Control	5-9
Figure 6-1. Example of Accounting Management Process	6-2
Figure 6-2. Collect and Provide Access to Accounting Records	6-3
Figure 6-3. Process Accounting Records	6-5
Figure 6-4. Modify Accounting Records	6-7
Figure 7-1. Overview of Security Management	7-2

Figure 8-1. Operations Control Management	8-13
Figure B-1. Operations Applications Network	B-3
Figure D-1. TR-303 Architecture	D-8
Figure D-2. OS-RDT Interface Without OIM	D-10

LIST OF TABLES

Table 1-1. 3.0 Configuration Management	1-4
Table 2-1. Mapping Among ISO, CCITT, and IOP Management Terminology ⁶	2-7
Table 8-1. Example of a Template	8-11
Table A-1. Protocols for Physical Access Networks	A-1
Table A-2. Networking Protocols	A-2
Table B-1. Software Structure Within a Node	B-3
Table D-1. Potential Applicability of Management Functions to FITL, IDLC, and SONET	D-2
Table D-2. Potential Applicability of Management Applications to FITL, IDLC, and SONET	D-3
Table E-1. Configuration Management	E-2
Table E-2. Fault Management	E-3
Table E-3. Performance Management	E-4
Table E-4. Accounting Management	E-5
Table E-5. Security Management	E-6
Table E-6. Common Operations Management	E-7
Table E-7. General Requirements for Systems that Implement EML Functions	E-8